

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on May 14, 2002.

Melissa Garton
Melissa Garton

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in the application of:

Hirotooshi KUBO et al.

Serial No.: 10/016,142

Filing Date: December 17, 2001

For: SEMICONDUCTOR DEVICE AND
MANUFACTURING METHOD

Examiner: Not yet assigned

Group Art Unit: 2812

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f) dated March 14, 2002, attached please find:

- ☒ Payment of the appropriate fees due. See attached Summary.
- ☒ A combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☒ An Assignment for recording. Separate cover sheet attached.
- ☐ A Preliminary Amendment.
- ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k).
- ☒ Other: Copy of the Notice to File Missing Parts Formalities Letter.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	- 20 =		x \$18.00	\$0
INDEPENDENT CLAIMS	4 - 3 =	1	x \$84.00	\$84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$0
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS =	\$824.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$0
DECLARATION SURCHARGE				\$130.00
			TOTAL =	\$954.00

☒ Charge \$954.00 to **Deposit Account No. 03-1952** Reference: 492322002600.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952**. A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,

Dated: May 14, 2002

By:



Barry E. Bretschneider
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,142	12/17/2001	Hirotohi Kubo	492322002600

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CONFIRMATION NO. 4143

FORMALITIES LETTER



OC000000007642266

Date Mailed: 03/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 ■ \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**

*A copy of this notice **MUST** be returned with the reply.*

Melanie H. Weber

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/16/2002 RECEIPT 00000024 031952 10016142
01 FC:101 740.00 CH
02 FC:105 130.00 CH
03 FC:102 84.00 CH